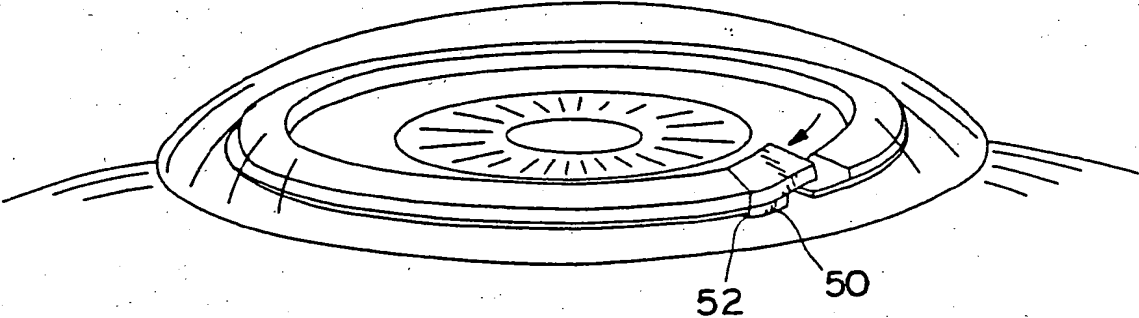


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<b>(54) Title:</b> HYBRID INTRASTROMAL CORNEAL RING    <b>(57) Abstract</b> <p>This invention is a hybrid intrastromal corneal ring (50) ("ICR") comprising at least one outer layer of a physiologically compatible polymer having a low modulus of elasticity, which polymer may be hydratable and may be hydrophilic. The inner portion of the ICR may be hollow or may contain one or more physiologically compatible polymers.</p>		

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HYBRID INTRASTROMAL CORNEAL RINGField of the Invention

This invention is a hybrid intrastromal corneal ring ("ICR") comprising at least one outer layer of a physiologically compatible polymer having a low modulus of elasticity, which polymer may be hydratable and may be hydrophilic. The inner portion of the ICR may be hollow or may contain one or more physiologically compatible polymers.

15

Background of the Invention

Anomalies in the overall shape of the eye can cause visual disorders. Hyperopia ("farsightedness") occurs when the front-to-back distance in the eyeball is too short. In such a case, parallel rays originating greater than 20 feet from the eye focus behind the retina. In contrast, when the front-to-back distance of eyeball is too long, myopia ("nearsightedness") occurs and the focus of parallel rays entering the eye occurs in front of the retina. Astigmatism is a condition which occurs when the parallel rays of light do not focus to a single point within the eye, but rather have a variable focus due to the fact that the cornea is aspherical and refracts light in a different meridian at different distances. Some degree of astigmatism is normal, but where it is pronounced, the astigmatism must be corrected.

Hyperopia, myopia, and astigmatism are usually corrected by glasses or contact lenses. Surgical methods for the correction of such disorders are known. Such methods include radial keratotomy (see, e.g., U.S.

Patents Nos. 4,815,463 and 4,688,570) and laser corneal ablation (see, e.g., U.S. Patent No. 4,941,093).

Another method for correcting those disorders is through the implantation of polymeric rings in the eye's corneal stroma to change the curvature of the cornea. Previous work involving the implantation of polymethylmethacrylate (PMMA) rings, allograft corneal tissue, and hydrogels is well documented. One of the ring devices involves a split ring design which is inserted into a channel previously dissected in the stromal layer of the cornea. A minimally invasive incision is used both for producing the channel and for implanting the implant.

The use of ICRs made completely of certain hard, hydrophobic polymers is known. For instance, in the article "Intrastromal Implantation Eines Justierbaren Kunststofforings Zur Hornhautrefraktionsanderung", Hartmann et al., \_\_\_\_\_, pages 465-475, the use of intrastromal ring implants of rings of silicone, polymethylmethacrylate ("PMMA"), and fluorocarbons ("TEFLON"). Other disclosures of the use of PMMA in such intrastromal rings is found in U.S. Patents 4,452,235 to Reynolds; 4,671,276 to Reynolds; 4,766,895 to Reynolds; and 4,961,744 to Kilmer et al. These documents do not suggest the use of multiple layers of differing materials in the ICRs.

The use of soft polymers as intrastromal inserts is not widely known. For instance, U.S. Patent No. 5,090,955 to Simon, suggests an ICR which is made by introducing a settable polymer into an intrastromal channel which has been previously made and allowing the polymer to set. This procedure does not allow the surgeon to specify the size of the resulting ring nor is it a process which allows control of the flowing polymer within the eye.

Temirov et al, "Refractive circular tunnel keroplasty in the correction of high myopia", Vestnik Oftalmologii 1991: 3-21-31, suggests the use of collagen thread as ICR material.

5 They specifically do not suggest the use of soft or hydrophilic polymers insertable into the cornea as ICRs.

#### Summary of the Invention

10 This invention is a hybrid intrastromal corneal ring comprising at least one outer layer of a soft, low modulus, often hydrophilic, physiologically compatible polymer.

15 The portion of the ICR inside that outer layer may be hollow and adapted to be fillable with a biologic agent, drug or other liquid, emulsified, or time-release eye treatment material. The inner portion may comprise variously a core of a high modulus, physiologically compatible polymer or a further composite of a low  
20 modulus polymer or a high modulus polymer core or a high modulus polymer or a low modulus polymer core. The inner portion may comprise a polymeric material which is polymerized in situ after introduction into a hollow center layer.

25 The term "high modulus polymer" is meant to include physiologically compatible polymers such as PMMA; TEFLON; certain longer chain silicones; polycarbonate; and polyolefins such as polyethylene, polypropylene, polybutylene, their mixtures or other polyolefin  
30 interpolymers. The term "low modulus polymer" is meant to include physiologically compatible polymers and hydrogels, such as polyhydroxyethyl methacrylate ("poly-HEMA") or polyvinylpyrrolidone ("PVP") or elastomeric materials and biologic polymers such as crosslinked  
35 dextran, hyaluronic acid, and heparin or the like. The

low modulus hydratable polymers, in any case, may be of the type which is sufficiently cross-linked such that they do not swell upon contact with water (and subsequent hydration) or of the type which swells when hydrated.

5 Additionally, the class of low modulus polymers is meant to include elastomeric polymers, e.g., latex rubber, colloids of polyester and polyether, polyurethanes, lower molecular weight silicones, isoprene, and the like, which are stable and physiologically compatible. Finally, the  
10 low modulus polymer may be a reinforced hydrogel such as an interpenetrating network of polymerized vinyl pyrrolidone and methyl methacrylate.

Our ICRs may be implanted into the stroma using a number of known techniques. If hydratable polymers are  
15 used, they may be hydrated before or after introduction into the intrastromal passageway created by the surgical device used to introduce these devices into the eye. If the outer layer is hydrated before insertion into the eye, the final size of the ring is set before that  
20 insertion. If the hydratable polymers are allowed to hydrate within the corneal space, the device (if appropriate polymers are chosen) will swell within the eye to its final size. If prehydrated, the outer layer often provides a measure of lubricity to the ICR,  
25 allowing it to be inserted with greater ease. Other of the noted low modulus polymers may also provide such lubricity.

This invention allows for adjustment of ICR thickness and diameter and provides a softer interface  
30 between a harder polymer core and corneal tissue.

#### Brief Description of the Drawings

Figure 1 is a schematic illustration of a horizontal section of the eye.

Figure 2 is a schematic illustration of the anterior portion of the eye showing the various layers of the cornea.

5 Figure 3 shows the step of inserting the inventive ICR through an incision in the cornea.

Figure 4 shows, in partial cross-section, the anterior portion of an eye with the hybrid ICR installed.

10 Figures 5A and 5B show, in cross-section, respectively, an unhydrated and a hydrated ring in which the hydratable, low modulus polymer is placed on only two surfaces.

Figure 6 shows in cross-section an unhydrated hybrid ICR in which the hydratable, low modulus polymer completely coats the ring.

15 Figure 7 shows a multiply coated ICR.

Figure 8 shows, in cross-section, an ICR comprising a swellable, low modulus polymer.

20 Figures 9A and 9B show, in cross-section, an ICR comprising a fillable shell which is nonhydrated in Figure 9A and hydrated and swollen in Figure 9B. The Figure 9B ICR contains a fluid.

Figures 10A and 10B show a baffled soft ICR.

25 Figure 11 shows an end-to-end ICR connector which permits introduction of drugs or a settable polymer.

#### Description of the Invention

30 Prior to explaining the details of the inventive devices, a short explanation of the physiology of the eye is needed to appreciate the functional relationship of the ICR to the eye.

35 Figure 1 shows a horizontal cross-section of the eye with the globe (10) of the eye resembling a sphere with an anterior bulged spherical portion representing the cornea (11).

The globe (10) of the eye consists of three concentric coverings enclosing the various transparent media through which the light must pass before reaching the light-sensitive retina (12). The outermost covering is a fibrous protective portion the posterior five-sixths of which is white and opaque and called the sclera (13), and sometimes referred to as the white of the eye where visible to the front. The anterior one-sixth of this outer layer is the transparent cornea (11).

A middle covering is mainly vascular and nutritive in function and is made up of the choroid, ciliary body (15), and iris (20). The choroid generally functions to maintain the retina (12). The ciliary body (15) is involved in suspending the lens (17) and accommodation of the lens. The iris (16) is the most anterior portion of the middle covering of the eye and is arranged in a frontal plane. It is a thin circular disc similar in function to the diaphragm of a camera, and is perforate near its center by a circular aperture called the pupil (20). The size of the pupil varies to regulate the amount of light which reaches the retina (12). It contracts also to accommodation, which serves to sharpen the focus by diminishing spherical aberration. The iris divides the space between the cornea (11) and the lens (17) into an anterior chamber (21) and posterior chamber. The innermost portion of covering is the retina (12), consisting of nerve elements which form the true receptive portion for visual impressions.

The retina (12) is a part of the brain arising as an outgrowth from the fore-brain, with the optic nerve (23) serving as a fiber tract connecting the retina part of the brain with the fore-brain. A layer of rods and cones, lying just beneath a pigmented epithelium on the anterior wall of the retina serve as visual cells or



photoreceptors which transform physical energy (light) into nerve impulses.

5 The vitreous body (24) is a transparent gelatinous mass which fills the posterior four-fifths of the globe (10). At its sides it supports the ciliary body (15) and the retina (12). A frontal saucer-shaped depression houses the lens.

10 The lens (17) of the eye is a transparent bi-convex body of crystalline appearance placed between the iris (16) and vitreous body (24). Its axial diameter varies markedly with accommodation. A ciliary zonule (25), consisting of transparent fibers passing between the ciliary body (15) and lens (17) serves to hold the lens (17) in position and enables the ciliary muscle to  
15 act on it.

Referring again to the cornea (11), this outermost fibrous transparent coating resembles a watch glass. Its curvature is somewhat greater than the rest of the globe and is ideally spherical in nature.  
20 However, often it is more curved in one meridian than another giving rise to astigmatism. A central third of the cornea is called the optical zone with a slight flattening taking place outwardly thereof as the cornea thickens towards its periphery. Most of the refraction  
25 of the eye takes place through the cornea.

Figure 2 is a more detailed drawing of the anterior portion of the globe showing the various layers of the cornea (11) making up the epithelium (31). Epithelial cells on the surface thereof function to  
30 maintain transparency of the cornea (11). These epithelial cells are rich in glycogen, enzymes and acetylcholine and their activity regulates the corneal corpuscles and controls the transport of water and electrolytes through the lamellae of the stroma (32) of  
35 the cornea (11).

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An anterior limiting lamella (33), referred to as Bowman's membrane or layer, is positioned between the epithelium (31) and the stroma (32) of the cornea. The stroma (32) is made up of lamellae having bands of  
5 fibrils parallel to each other and crossing the whole of the cornea. While most of the fibrous bands are parallel to the surface, some are oblique, especially anteriorly. A posterior limiting lamina (34) is referred to as Descemet's membrane. It is a strong membrane sharply  
10 defined from the stroma (32) and resistant to pathological processes of the cornea.

The endothelium (35) is the most posterior layer of the cornea and consists of a single layer of cells. The limbus (37) is the transition zone between  
15 the conjunctiva and sclera on the one hand and the cornea (11) on the other.

Figure 3 shows the completion of the step of inserting the hybrid ICR into the corneal stroma. Techniques such as that shown in our copending  
20 application serial no. 07/867,745, are suitable for preparing the eye and inserting the ICR into the appropriately prepared interlamellar stromal channel. Generally the ICR is installed in the following manner: A small radial incision is made at the corneal radius in  
25 which the ICR is ultimately to be installed about the cornea. A dissector in the form of a split ring having a point suitable for producing the interlamellar channel in the corneal stroma is introduced into the stromal space through the small incision. It is then rotated in such a  
30 fashion that a generally circular channel is formed completely encircling the cornea. The dissector is then rotated in the opposite direction to withdraw it from the tunnel or channel thus formed. An ICR is then introduced into the circular channel and joined at its ends. Hybrid

ICRs having partially hydrated polymers on their outer periphery are typically slippery and consequently may be introduced into the interlamellar tunnel with great ease. It is usually desirable to (at least partially) hydrate the hybrid ICR in that, otherwise, the ICR during its traverse through the tunnel may desiccate the path and begin to stick to the interior wall of the tunnel. The ICR may be lubricated with suitable ocular lubricants such as hyaluronic acid, methylethyl cellulose, dextran solutions, glycerine solutions, polysaccharides, or oligosaccharides upon its introduction to help with the insertion particularly if one wishes to insert the hybrid ICR without any hydration.

Figure 4 shows, in cross-section, the anterior portion of the eye with the hybrid ICR (50) inserted. Subsequent to the insertion, the ICR (50) will swell to its final size or thickness (53) within the eye. This swelling permits the inclusion of larger ICRs than would normally be accommodated within normal sized intrastromal channels.

Figure 5A shows in cross-section the hybrid ICR having inner and outer faces (54) comprising polymers having low moduli of elasticity. Low modulus polymers are those having a modulus of elasticity below about 3.5 kpsi, more preferably between 1 psi and 1 kpsi, and most preferably between 1 psi and 500 psi. They must be physiologically compatible with the eye. Most polymeric materials used in soft contact lenses are suitable for the outer layer contemplated in this invention. In addition, the class includes physiologically compatible elastomers such as polyacrylates, silicones, isoprene, and the like. Additionally, low modulus polymers include biologic polymers such as crosslinked dextran, crosslinked heparin or hyaluronic acid.

The inner portion or core (56) as shown in Figure 5A is a physiologically compatible polymer having a high modulus of elasticity. A high modulus of elasticity is considered to be greater in value than about 3.5 kpsi, preferably 5-12 kpsi, and most preferably 8-10 kpsi. These polymers are typically stiffer and may be materials such as polymethyl methacrylate (PMMA), TEFLON, longer chain silicone polymers such as are used in hard contact lenses. Additionally, suitable polymers include polycarbonates; polyolefins such as polyethylene, polypropylene, and polybutylene, their mixtures and polyolefin interpolymers, block copolymers and the like.

The extent to which the outer layers swell upon hydration is dependent upon the type of polymer chosen and, when the polymer is hydratable, upon the amount of cross-linking found in the outer layers (54), and on the thickness of the layer. Generally speaking, the more highly linked the hydratable polymer, the smaller the amount of volume change upon hydration. Conversely, a polymer having only sufficient cross-linking for strength in the service in which this device is placed, will have a somewhat lower level of cross-linking. Alternatively, a substantially nonswellable polymer system may be formed of a hydrogel physically interpenetrated by another polymer which does not hydrate, e.g., polyHEMA. See, polyacrylnitrite.

The thickness of the outer layer depends in large function upon the intended use of the ICR. For instance if the outer layer is to be used as a container for an inner volume of a settable polymer or drug, the outer layer may be relatively thicker. If the outer layer is used to provide a swellable outer layer which does not add significantly to the size of the ICR or is used functionally as a lubricant layer, the other layer

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may be quite thin even to the point of a layer of minimum coverage, perhaps as thin as a molecule thick.

Figure 5B shows the hybrid ICR of Figure 5A after it has been completely hydrated and the polymer faces (54) have swollen to their largest extent.

The inventive device shown in Figures 5A and 5B may also be used in the instance where a low modulus covering is not placed over the entire outside surface of the ICR. For instance, to alleviate astigmatism, an ICR having a thick portion and a thin portion may be desired. An ICR having an inner core of a high modulus polymer and an outer covering of a swellable polymer might be chosen. The surgeon would remove a portion of the ICR's exterior coating or face prior to introducing the ICR into the eye. Such an ICR and its use are described more fully in Serial No. 07/939,492.

Figure 6 shows a hybrid ICR in which the core (56) is of high modulus polymeric material such as mentioned above. In this variation the outer surface is completely coated with a swellable polymer or polymeric gel such as those discussed above. Again, the composition of outer covering (58) may be of a hydratable polymer system which is sufficiently cross-linked that the polymer does not swell appreciably upon hydration. Alternatively, the covering (56) may be cross-linked only so much as to allow substantial swelling of the outer covering either before or after insertion into the eye.

Figure 7 shows another variation of the inventive hybrid ICR. In this variant of the inventive hybrid ICR, the inner high modulus core (56) is surrounded by more than one layer, specifically, an intermediate layer (60) and an outer layer (62). This hybrid ICR may be appropriate when the outer layer (62) is difficult to bond to the core (56). An intermediate

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layer (60) which bonds both to the core (56) and to the outer layer (62) may be used. Intermediate layer (60) typically would not be a polymer which swells appreciably upon hydration lest it split outer layer (62). Outer layer (62) may either swell upon hydration, as is shown in Figure 7, or may remain at approximately the same size upon hydration if a suitably low modulus polymer system is chosen.

Figure 8 shows an ICR made of a low modulus, hydratable polymer such as those discussed above. Since these polymers often lose substantial mechanical strength upon hydration, these ICRs would be inserted into the intrastromal space prior to being hydrated or with the assistance of a tool either before or after hydration.

Figure 9A shows an ICR made of a low modulus polymer system hydratable outer coating (66) and an inner cavity (68). This ICR may be inserted into the intrastromal space created by the dissector (as described above) as a covering on a tool similar to the dissector which created the intracorneal channel. Once in position the insertion tool is rotated out of the ICR leaving the shell within the stroma.

Alternatively, the ICR may be introduced into the intrastromal channel as the dissector is fully rotated in place, attached to the leading edge of the dissector, and pulled into the intrastromal channel as the dissector is rotated out of the eye.

Figure 9B shows the ICR of Figure 9A upon completion of the hydration in that the outer covering (66) is swollen to its largest extent. Furthermore, the inner cavity (68) (Figure 9A) may be filled with a biologic, drug or other liquid, or biologically active eye treatment material. These devices may be tied or otherwise connected at their point of insertion by known techniques.

The shell may be injected with a settable soft polymer core and allowed to expand to a desired thickness. Suitable injectable polymers are well known but include polyHEMA hydrogel, cross-linked collagen, cross-linked hyaluronic acid, siloxane gels, and organic-siloxane gels such as cross-linked methyl vinyl siloxane gels. The injected polymer sets after injection.

Figures 10A and 10B show a variation of the inventive ICR (80) in which a polymeric ring containing a number of chambers (82) separated by baffle walls (84) which may have an optional hole (86) in the baffle wall (84). Once the ICR is introduced into the eye, it may be filled with a drug or biologic material via injection with a suitable syringe through the ICR wall or into the end (88) of the tube. A settable polymer of the type discussed above may be introduced into the chambers in similar fashion if a ring of variable bulk is required. It is also appropriate to include a smaller fill tube (90) which extends through the end (88) of the tube (80) through the holes (86) in the baffle walls (84). In this way drugs, biologic agents, or settable polymers may be delivered in a specific fashion throughout the ring as the fill tube is withdrawn from the ICR.

Figure 11 shows a connector (92) situated between the ends of an ICR (90). The ICR is a low modulus polymer having a hollow center. The connector has a receiver port (94) suitable for introducing drugs, biologics, or settable polymers into the interior of the ICR. The connector has a passageway connecting the receiver port (94) into the ICR interior.

In the variation of the invention specified just above and shown in Figures 10A, 10B, and 11, the wall or outer covering of the ICR may be a hydratable low modulus polymer or an elastomer. If an elastomeric

polymer is selected, the ICR may be injected with a settable polymer as specified.

5 The low modulus polymers used in this invention are often absorbent, particularly if they are hydratable, and may be infused with a drug or biologic agent which may be slowly released from the device after implantation of the ICR. For instance, the low modulus polymer may be loaded with a drug such as dexamethasone to reduce acute inflammatory response to implanting the device. This  
10 drug helps to prevent undesirable scarring or vascular ingrowth toward the ICR. Similarly, heparin, corticosteroids, antimitotics, antiinflammatories, and antiangiogenesis factors (such as nicotine adenine dinucleotide (NAD<sup>+</sup>)) may be included to reduce or prevent  
15 angiogenesis and inflammation.

Clearly, there are a variety of other drugs suitable for inclusion in the ICR. The choice will depend upon the use to which the drugs are put.

The terms and expressions which have been used  
20 in the description above are used only as terms of description and not of limitation. There is no intention of excluding equivalents of the features shown or described. It is recognized that one having ordinary skill in this art would perceive equivalence to the  
25 inventions claimed below, which equivalence would be within the spirit of the invention as expressed above.

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I CLAIM AS MY INVENTION:

1. A split polymeric ring suitable for introduction into the stroma comprising at least one  
5 outer layer of a low modulus, physiologically compatible polymer.

2. The ring of claim 1 where the low modulus physiologically compatible polymer is selected from  
10 hydratable polymers which swell upon hydration, hydratable polymer systems which do not swell upon hydration, and elastomers.

3. The ring of claim 2 where the low modulus, physiologically compatible polymer comprises an  
15 elastomer.

4. The ring of claim 2 where the low modulus, physiologically compatible outer layer comprises a  
20 hydratable polymer system that is sufficiently cross-linked or reinforced such that it does not swell when contacted with water.

5. The ring of claim 2 where the low modulus, physiologically compatible outer layer comprises a  
25 polymer which will swell upon contact with water.

6. The ring of claim 1 additionally comprising an inner portion of at least one  
30 physiologically compatible polymer.

7. The ring of claim 6 where the physiologically compatible inner portion comprises a  
polymer having a high modulus of elasticity.

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8. The ring of claim 7 in which the physiologically compatible inner portion polymer comprises a polymer selected from PMMA, TEFLON, silicone, polycarbonate, a polyolefin selected from polyethylene, polypropylene, polybutylene, mixtures, or interpolymers.

9. The ring of claim 8 where the inner portion polymer is PMMA.

10. The ring of claim 6 in which the physiologically compatible inner portion polymer comprises a low modulus polymer.

11. The ring of claim 10 in which the low modulus, physiologically compatible inner portion polymer is cross-linked or reinforced sufficiently that it does not substantially swell upon introduction into the stroma.

12. The ring of claim 10 in which the low modulus, physiologically compatible inner portion polymer will swell upon contact with water.

13. The ring of claim 6 where the inner portion comprises two or more physiologically compatible polymers.

14. The ring of claim 13 where the inner portion comprises layers and a center which independently comprise polymers selected from high modulus polymers, swellable hydratable polymers, and non-swelling hydratable polymer systems.

15. The ring of claim 14 where the center is a high modulus polymer.

5 16. The ring of claim 15 where the center is PMMA.

17. The ring of claim 1 where the inner portion is fillable.

10 18. The ring of claim 17 where the hollow inner portion is fillable with a liquid.

15 19. The ring of claim 17 where the hollow inner portion is at least partially filled with a gel or a settable polymer.

20. The ring of claim 19 where the hollow inner portion is filled with a gel or settable polymer.

20 21. The ring of claim 19 where the settable polymer is selected from polyHEMA hydrogel, cross-linked collagen, cross-linked hyaluronic acid, siloxane gels, polyvinyl pyrrolidone, and organic-siloxane gels.

25 22. The ring of claim 21 where the settable polymer is polyvinyl pyrrolidone.

30 23. The ring of claim 1 additionally comprising a drug or biologic agent.

24. The ring of claim 23 additionally comprising an antiinflammatory or antithrombogenic.

35 25. The ring of claim 23 where the drug is selected from dexamethasone, heparin, corticosteroids,

antimitotics, antithrombogenic, and antiangiogenesis factors.

26. The ring of claim 13 additionally  
5 comprising a drug or biologic agent.

27. The ring of claim 26 additionally  
comprising an antiinflammatory or antithrombogenic.

10 28. The ring of claim 26 where the drug is  
selected from dexamethasone, heparin, corticosteroids,  
antimitotics, antithrombogenic, and antiangiogenesis  
factors.

15 29. The ring of claim 17 additionally  
comprising a drug or biologic agent.

30. The ring of claim 29 additionally  
comprising an antiinflammatory or antithrombogenic.

20 31. The ring of claim 29 where the drug is  
selected from dexamethasone, heparin, corticosteroids,  
antimitotics, antithrombogenic, and antiangiogenesis  
factors.

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32. A split polymeric ring suitable for introduction into the stroma comprising at least one outer layer of an elastomeric, physiologically compatible polymer.

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33. The ring of claim 32 additionally comprising an inner portion of at least one low modulus, physiologically compatible polymer.

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34. The ring of claim 33 in which the low modulus, physiologically compatible polymer system inner portion is sufficiently cross-linked or reinforced such that it does not substantially swell upon contact with water.

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35. The ring of claim 33 in which the low modulus, physiologically compatible polymer inner portion will swell on contact with water.

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36. The ring of claim 32 in which the inner portion is fillable.

37. The ring of claim 36 where the hollow inner portion is fillable with a liquid.

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38. The ring of claim 36 where the hollow inner portion is at least partially filled with a settable polymer.

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39. The ring of claim 36 in which the hollow inner portion is filled with a settable polymer.

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40. The ring of claim 38 where the settable polymer is selected from polyHEMA hydrogel, cross-linked

collagen, cross-linked hyaluronic acid, siloxane gels, polyvinyl pyrrolidone, and organic-siloxane gels.

41. The ring of claim 40 where the settable  
5 polymer is polyvinyl pyrrolidone.

42. The ring of claim 32 additionally comprising a drug or biologic agent.

10 43. The ring of claim 42 additionally comprising an antiinflammatory or antithrombogenic.

44. The ring of claim 42 where the drug is selected from dexamethasone, heparin, corticosteroids,  
15 antimitotics, antiinflammatory, and antiangiogenesis factors.

45. The ring of claim 32 additionally comprising a high modulus polymer core where the high  
20 modulus, physiologically compatible polymer is selected from PMMA, TEFLON, silicone, polycarbonate, a polyolefin selected from polyethylene, polypropylene, polybutylene, mixtures, or interpolymers.

25 46. The ring of claim 45 where the high modulus, physiologically compatible outer portion polymer comprises PMMA.

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47. A split polymeric ring suitable for introduction into the stroma comprising at least one hydratable, physiologically compatible polymer.

5           48. The ring of claim 47 where the polymer is sufficiently cross-linked or reinforced such that it does not swell when contacted with water.

10           49. The ring of claim 47 where the polymer will swell when contacted with water.

15           50. The ring of claim 47 where the polymer is selected from polyhydroxyethyl methylacrylate and polyvinylpyrrolidone.

            51. The ring of claim 47 additionally comprising an ocular lubricant.

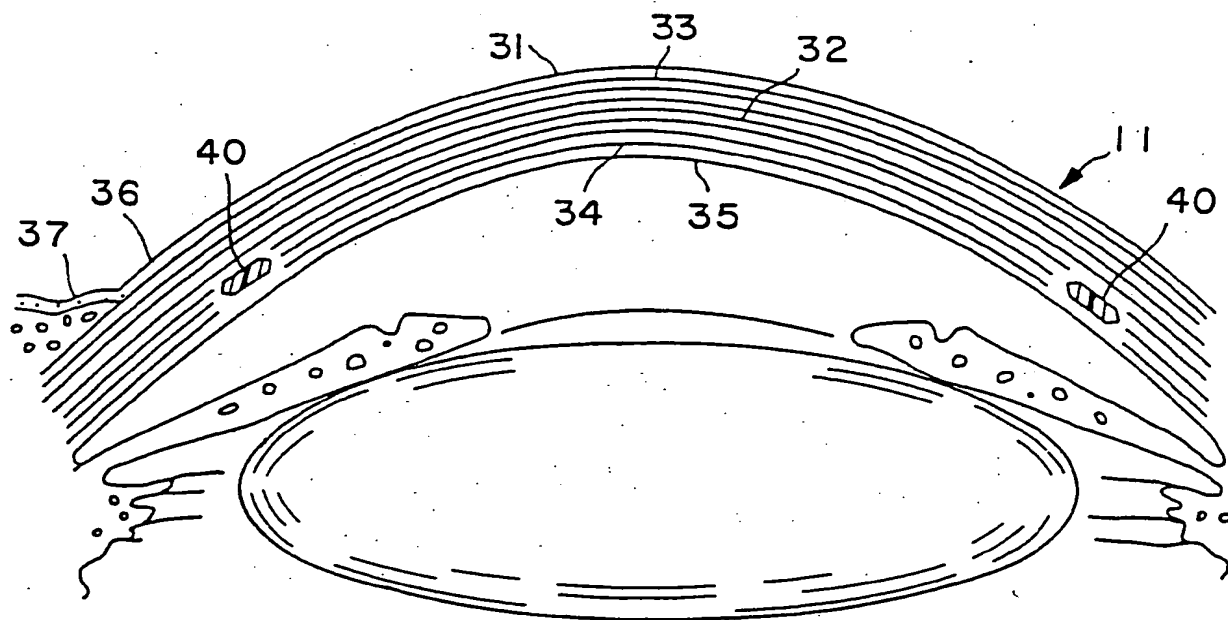
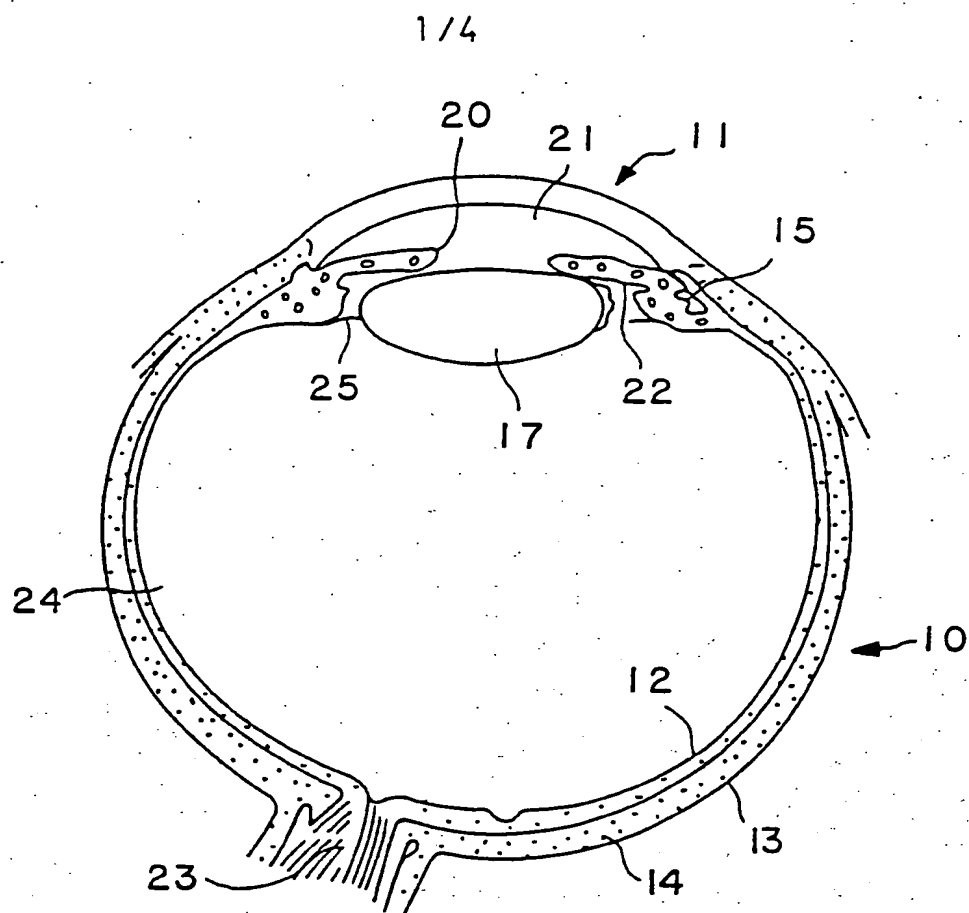
20           52. The ring of claim 51 where the ocular lubricant is selected from hyaluronic acid, methylethylcellulose, dextran solutions, glycerine solutions, polysaccharides, or oligosaccharides.

25           53. The ring of claim 47 additionally comprising a drug or biologic agent.

            54. The ring of claim 53 where the drug is an antiinflammatory or antithrombogenic agent.

30           55. The ring of claim 53 where the drug is selected from dexamethasone, heparin, corticosteroids, antimitotics, antiinflammatory, and antiangiogenesis factors.

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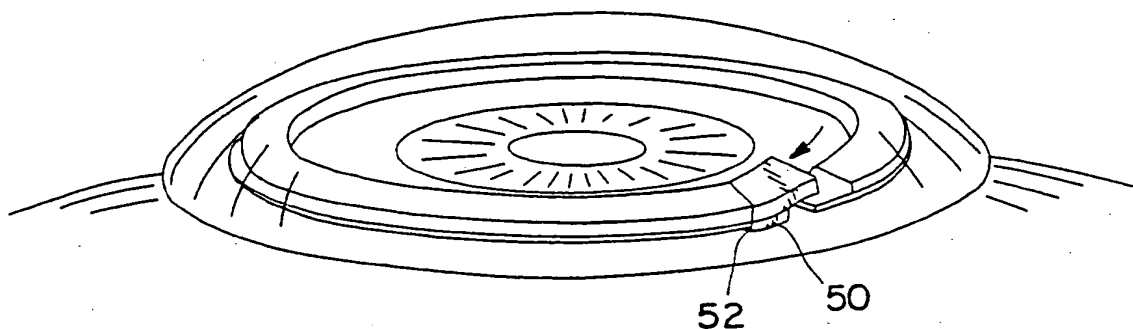


FIG. 3

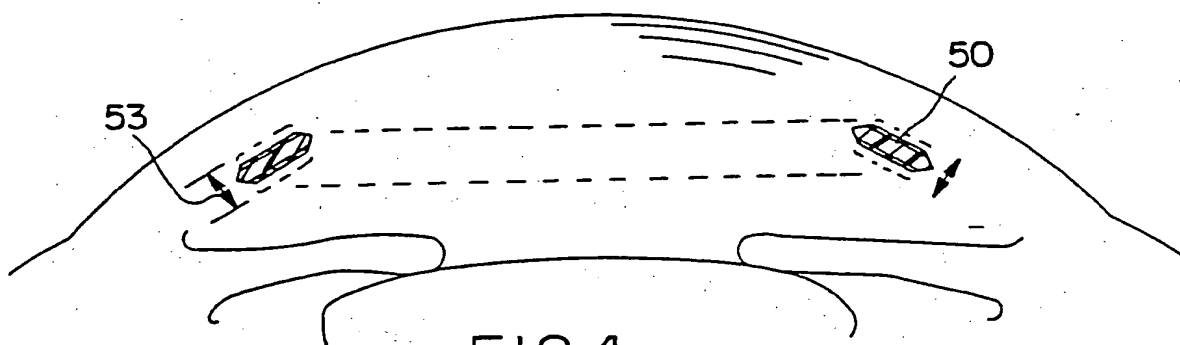


FIG. 4

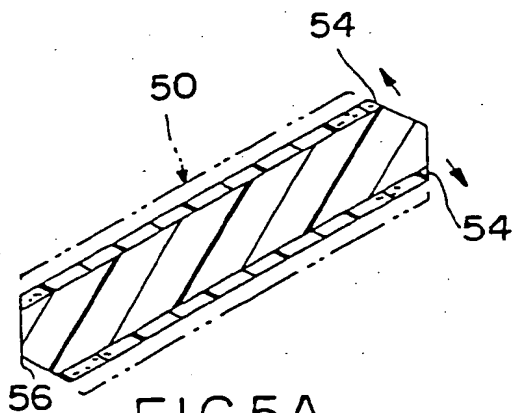


FIG. 5A

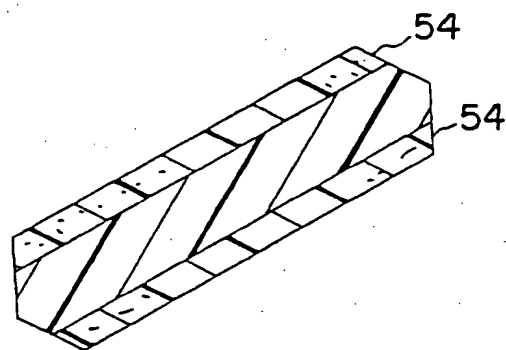


FIG. 5B

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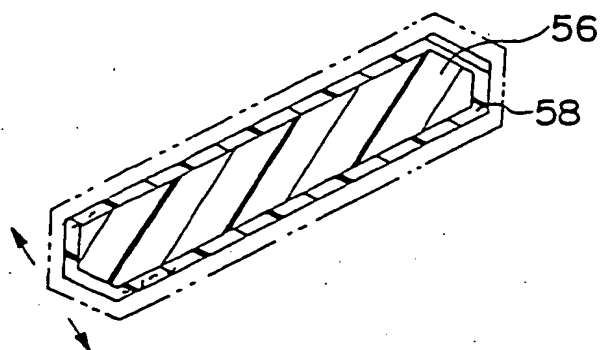


FIG. 6

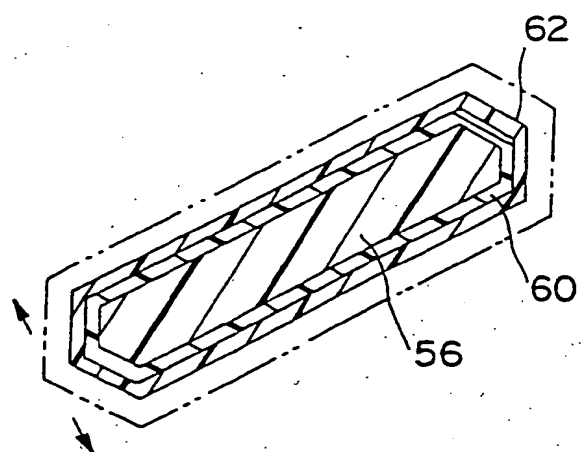


FIG. 7

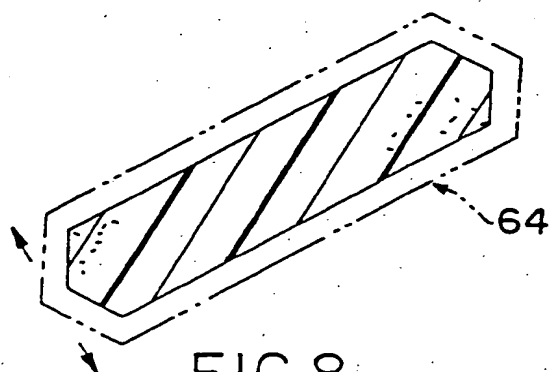


FIG. 8

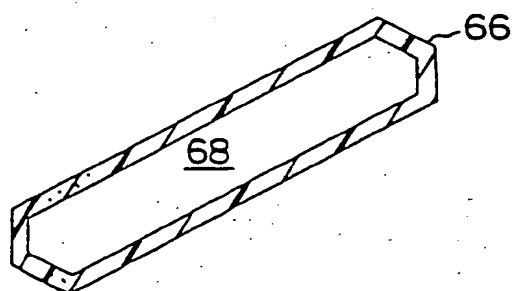


FIG. 9A

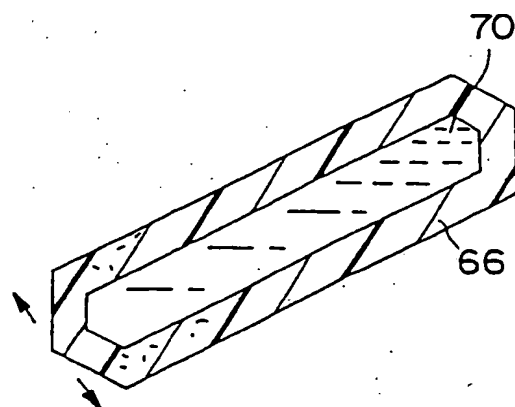


FIG. 9B

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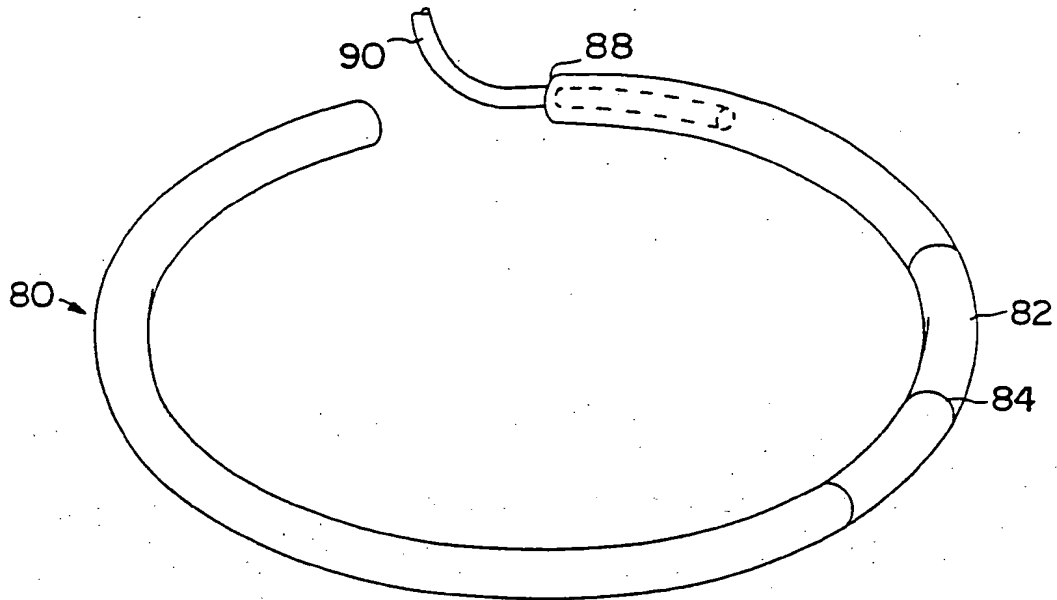


FIG. 10A

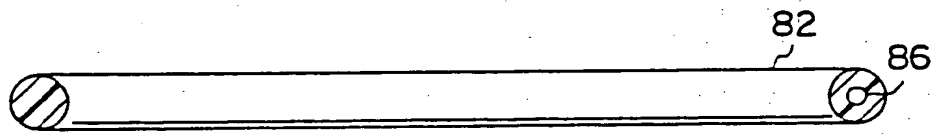


FIG. 10B

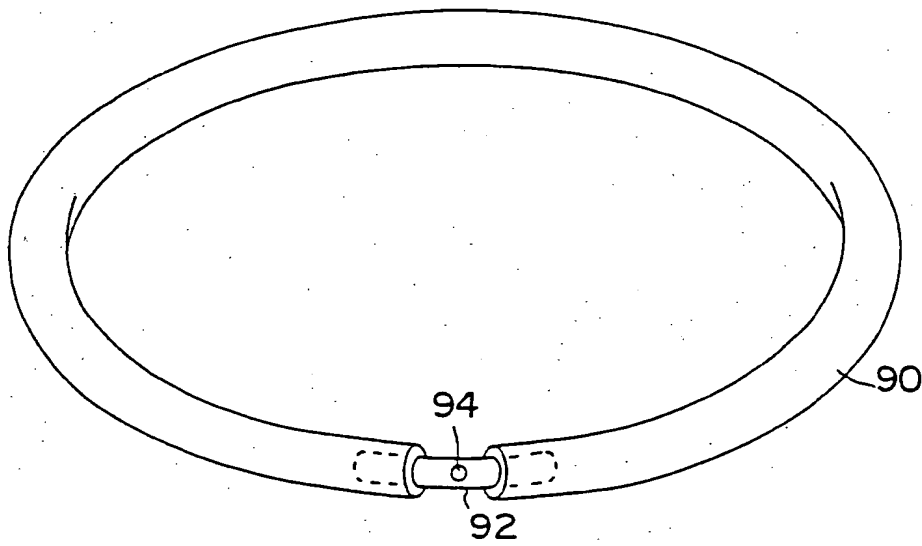


FIG. 11

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/07339

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61F 2/14

US CL :623/6

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/5,6; 606/107

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,452,235 (Reynolds) 05 June 1984 (See Fig. 5).	1-15,17-21,32-41, 47-49
Y	US, A, 4,799,931 (Lindstrom) 24 January 1989 (See figs. 2-4, col. 2, lines 7-54).	1-15,17-21, 32-41, 47-49
Y	US, A, 4,709,996 (Michelson) 01 December 1987 (See column 5, lines 46-60; column 6, line 38).	16,22,50
Y	US, A, 4,316,292 (Alexeev) 23 February 1982 (See column 4, lines 56-61 and column 6, lines 63-68).	23-31, 42-44, 53-55



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	* T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A* document defining the general state of the art which is not considered to be part of particular relevance	* X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E* earlier document published on or after the international filing date	* Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L* document which may throw doubt on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* &*	document member of the same patent family
* O* document referring to an oral disclosure, use, exhibition or other means		
* P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

09 November 1993

Date of mailing of the international search report

NOV 17 1993

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

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Authorized officer

FOR

Tamara L. Graysay

Telephone No. (703) 308-0858

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/07339

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,819,617 (Goldberg et al) 11 April 1989 (See column 1, lines 23-28).	51,52
A	US, A, 5,090,955 (Simon) 25 February 1992.	

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/07339

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1. ☒ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐  
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/07339

## BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING

This ISA found multiple inventions as follows:

Group I, claims 1-12,32-35 and 45-55, drawn to the species of Figures 5A,5B and 6.

Group II, claims 1-6,12-16,26-28,32 and 45-55, drawn to the species of Figure 7.

Group III, claims 47-55, drawn to the species of Figure 8.

Group IV, claims 1-5,17-25,29-32,36-44 and 47-55, drawn to the species of figures 9A,9B10A,10B and 11.

The claims of these four groups are directed to different products which are not so linked as to form a single general inventive concept. The claims are directed to mutually exclusive characteristics of each of the four different products.

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